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12/19/00  
13760 U.S. PTO

**TAROLLI, SUNDHEIM, COVELL, TUMMINO & SZABO L.L.P.**

1111 Leader Building  
526 Superior Avenue  
Cleveland, Ohio 44114

Phone (216)621-2234  
Facsimile (216)621-4072

13760 U.S. PTO  
09/140544  
12/19/00

**PATENT**

**Attorney Docket No.: LM(F)4879**

Commissioner of Patents and Trademarks  
Washington, D.C. 20231

**NEW APPLICATION TRANSMITTAL**

Transmitted herewith for filing is the patent application of Inventor(s):

**John O. Moody, Ira, Glickstein, Robert J. Szczerba**

For (title): **APPARATUS AND METHOD FOR RESOURCE NEGOTIATIONS AMONG  
AUTONOMOUS AGENTS**

**Enclosed are:**

**Papers Required for Filing Date Under 37 CFR 1.53(b):**

40 Pages of specification

01 Pages of Abstract

12 Pages of claims

14 Sheets of drawing

☐ formal

☒ informal

In addition to the above papers there is also attached: Information Disclosure Statement,  
Form PTO-1449 and the art cited therein (28 U.S. Patent Copies)

**CERTIFICATION UNDER 37 CFR 1.10**

I hereby certify that this New Application Transmittal and the documents referred to as enclosed  
therein are being deposited with the United States Postal Service on this date December 18, 2000  
in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number EK982080784US  
addressed to the : Commissioner of Patents and Trademarks, Washington, D.C. 20231

Lisa L. Pringle

(Type or print name of person mailing paper)

*Lisa L. Pringle*

(Signature of person mailing paper)

**2. Declaration or oath:**

- ☒ Enclosed    ☒ Executed    ☐ Not executed  
☐ Will follow.

**3. Language:**

- ☒ English  
☐ Non-English  
☐ A verified English translation of the  
    ☐ specification and claims  
    ☐ declaration  
is attached.

**4. Assignment:**

- ☒ An assignment of the invention to Lockheed Martin Corporation  
☒ is attached.  
☐ will follow.

**5. Certified Copy:**

Certified copy(ies) of application(s)

_____ (Country)	_____ (appln. no.)	_____ (filed)
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_____ (Country)	_____ (appln. no.)	_____ (filed)
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_____ (Country)	_____ (appln. no.)	_____ (filed)
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from which priority is claimed

- ☐ is attached  
☐ will follow

**6. Fee Calculation:**

(Small Entity filing fee is 50% normal fee)

**CLAIMS AS FILED**

Number Filed	Number Extra	Rate	Basic Fee
			\$710.00
Total Claims	56 - 20 = 36	X \$18.00	648.00
Independent Claims	3 - 3 = 0	X \$80.00	0
Multiple dependent claim(s), if any +			

- ☐ Amendment canceling extra claims enclosed  
☐ Amendment deleting multiple dependencies enclosed  
☐ Fee for extra claims is not being paid at this time

Filing Fee Calculation \$1358.00

**7. Small Entity Statement:**

- ☐ Verified Statement that this is a filing by a **small entity** under 37 CFR 1.9 and 1.27  
(Must be enclosed to get small entity filing fee reduction)

**8. Fee Payment Being Made At This Time:**

<input checked="" type="checkbox"/> basic filing fee	\$1358.00
<input checked="" type="checkbox"/> assignment recordal fee	40.00
<input type="checkbox"/> for processing an application with a specification in non-English language	.00
<b>Total fees enclosed</b>	<b><u>\$1398.00</u></b>

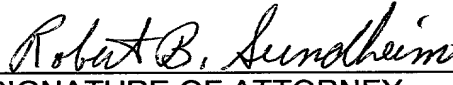
**9. Method of Payment of Fees:**

- ☒ check in the amount of \$1398.00 enclosed.  
☒ The Commissioner is hereby authorized to charge any **DEFICIENCY** in the filing fees for this application to our Deposit Account No. 20-0090.

**10. Instruction As to Overpayment:**

- ☒ refund

TAROLLI, SUNDHEIM, COVELL,  
TUMMINO & SZABO L.L.P.  
1111 LEADER BUILDING  
526 SUPERIOR AVENUE  
CLEVELAND, OHIO 44114-1400  
Tel. No. (216)621-2234

  
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SIGNATURE OF ATTORNEY  
20,127  
REG. NO.  
Robert B. Sundheim  
Type or print name of attorney